

Docket No. 296761US0PCT

10/594253
IAP01 Rec'd PCT/PTO 25 SEP 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shinji INAGAKI, et al.

SERIAL NO: New US PCT Application Based on PCT/JP05/06440

GAU:

FILED: Herewith

EXAMINER:

FOR: LUMINESCENT MATERIAL AND METHOD FOR PRODUCING SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

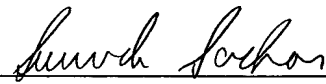
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 296761US0PCT | | SERIAL NO. New US PCT Application Based on PCT/JP05/06440 | |
|--|--------------------|--|----------------------------|--|--------------|--|----|
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT Shinji INAGAKI, et al. | | | |
| FILING DATE Herewith | | | | GROUP | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE | |
| | AA | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | | |
| | AO 6 234968 | 08/23/1994 | JP (with English abstract) | | | | NO |
| | AP 8 259938 | 10/08/1996 | JP (with English abstract) | | | | NO |
| | AQ 2003 252997 | 09/10/2003 | JP (with English abstract) | | | | NO |
| | AR 2003 321546 | 11/14/2003 | JP (with English abstract) | | | | NO |
| | AS 2000 306669 | 11/02/2000 | JP (with English abstract) | | | | NO |
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| | AU 2003 261682 | 09/19/2003 | JP (with English abstract) | | | | NO |
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| | AW 9 279135 | 10/28/1997 | JP (with English abstract) | | | | NO |
| | AX 2001 114790 | 04/24/2001 | JP (with English abstract) | | | | NO |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AY | HORIE et al., "SCIENCE OF OPTICAL FUNCTION MOLECULE: MOLECULE PHOTONICS", KODANSHA CO., LTD., 7 TH PRINT, page 58, 2001 | | | | | |
| | AZ | CORRIU, "CERAMICS AND NANOSTRUCTURES FROM MOLECULAR PRECURSORS", ANGEW. CHEM. INT. ED., Vol. 39, pages 1376-1398, 2000 | | | | <input type="checkbox"/> Additional References sheet(s) attached | |
| Examiner | | | | Date Considered | | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

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STATEMENT OF RELEVANCY

- 1) References AO-AW have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AX and AY-AZ are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.